

Docket No.  
GB920030044US1

# Declaration and Power of Attorney For Patent Application

## English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

### POSTAL SERVICES METHOD AND SYSTEM

the specification of which

(check one)

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as United States Application No. or PCT International Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

|                          |               |
|--------------------------|---------------|
| _____                    | _____         |
| (Application Serial No.) | (Filing Date) |
| _____                    | _____         |
| (Application Serial No.) | (Filing Date) |
| _____                    | _____         |
| (Application Serial No.) | (Filing Date) |

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

|                          |               |                                |
|--------------------------|---------------|--------------------------------|
| _____                    | _____         | _____                          |
| (Application Serial No.) | (Filing Date) | (Status)                       |
|                          |               | (patented, pending, abandoned) |
| _____                    | _____         | _____                          |
| (Application Serial No.) | (Filing Date) | (Status)                       |
|                          |               | (patented, pending, abandoned) |
| _____                    | _____         | _____                          |
| (Application Serial No.) | (Filing Date) | (Status)                       |
|                          |               | (patented, pending, abandoned) |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

David L. Adour, Reg. No. 29,604  
 John R. Pivnichny, Reg. No. 43,001  
 Arthur J. Samodovitz, Reg. No. 31,297  
 William E. Schiesser, Reg. No. 53,559  
 William H. Steinberg, Reg. No. 28,540  
 JoAnn K. Crockatt, Reg. No. 36,493  
 Joseph Petrokaitis, Reg. No. 38,995  
 John E. Hoel, Reg. No. 26,279  
 Christopher A. Hughes, Reg. No. 26,914  
 Joseph C. Redmond, Jr., Reg. No. 18,753

Send Correspondence to: Customer No.: 26502

Direct Telephone Calls to: *(name and telephone number)*  
 John R. Pivnichny, (607)429-4358

|  |                              |
|--|------------------------------|
| Full name of sole or first inventor<br><b>Barry P. Lubart</b>            |                              |
| Sole or first inventor's signature<br><i>Barry P. Lubart</i>             | Date<br><i>July 25, 2003</i> |
| Residence<br><b>PO Box 603, 181 Windsor Drive, Hurley, NY 12443-0603</b> |                              |
| Citizenship<br><b>US</b>   |                              |
| Post Office Address<br><b>Same as Residence</b>                          |                              |
|  |                              |

|   |                 |
|---|-----------------|
| <del>Full name of second inventor, if any</del> |                 |
| <del>Second inventor's signature</del>          | <del>Date</del> |
| <del>Residence</del>                            |                 |
| <del>Citizenship</del>                          |                 |
| <del>Post Office Address</del>                  |                 |
|   |                 |



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

FEBRUARY 23, 2004

PTAS



\*102519589A\*

IBM CORPORATION  
JOHN R. PIVNICHNY  
1701 NORTH STREET,  
IP LAW DEPT. IQ0A/BLDG. 040-3  
ENDICOTT, NY 13760

### UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/29/2003

REEL/FRAME: 014353/0164  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

LUBART, BARRY P.

DOC DATE: 07/25/2003

ASSIGNEE:

INTERNATIONAL BUSINESS MACHINES  
CORPORATION  
NEW ORCHARD ROAD  
ARMONK, NEW YORK 10504

SERIAL NUMBER: 10630497  
PATENT NUMBER:

FILING DATE:  
ISSUE DATE:

014353/0164 PAGE 2

THERESA FREDERICK, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

FORM PTO-1595 (Modified)

(Rev. 03-01)

OMB No. 0651-0027 (exp. 5/31/2002)

P08/REV03

08-08-2003

ET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

Tab settings



102519589

To the Honorable Director of the United States

rd the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Barry P. Lubart

## 2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address: \_\_\_\_\_

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_Street Address: New Orchard RoadCity: Armonk State: NY ZIP: 10504Execution Date: 7/25/03Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 7/25/03

A. Patent Application No.(s)

B. Patent No.(s)

10,630,497

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John R. Pivnichny

Internal Address: \_\_\_\_\_

6. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41):.....\$ 40.00☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit accountStreet Address: IBM Corporation, IP Law Dept. IQ0A/Bldg. 040-3, 1701 North StreetCity: Endicott State: NY ZIP: 13760

## 8. Deposit account number:

09-0457

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*John R. Pivnichny, Reg. No. 43,001

Name of Person Signing

John R. Pivnichny

Signature

07/29/03

Date

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Total number of pages including cover sheet, attachments, and document:

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FORM PTO-1595 (Modified)  
(Rev. 03-01)  
OMB No. 0651-0027 (exp. 5/31/2002)  
P08/REV03

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## 1. Name of conveying party(ies):

Barry P. Lubart

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 7/25/03

## 2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address:

Street Address: New Orchard Road

City: Armonk State: NY ZIP: 10504

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent numbers(s):

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A. Patent Application No.(s)

B. Patent No.(s)

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Internal Address:

Street Address: IBM Corporation, IP Law Dept. IQ0A/

Bldg. 040-3, 1701 North Street

City: Endicott State: NY ZIP: 13760

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

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09-0457

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John R. Pivnichny, Reg. No. 43,001

Name of Person Signing



Signature

07/29/03

Date

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**PATENT**  
DOCKET NO. GB920040044US1

## PATENT ASSIGNMENT FORM

For and in consideration of good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, each undersigned inventor acknowledges his/her prior and ongoing obligation to sell, assign, and transfer, and agrees to sell, assign, and transfer the entire world wide right, title and interest for the United States and all foreign countries, in and to any and all inventions and improvements conceived during and within the scope of his/her employment, and further acknowledges that he/she has sold, assigned, and transferred, and hereby sells, assigns, and transfers, unto:

**INTERNATIONAL BUSINESS MACHINES CORPORATION ("IBM"),**  
**Armonk, New York 10504**

a corporation of New York, and IBM desires to acquire all right, title, and interest, in and to the certain inventions (identified below), applications, and any United States and foreign patents to be obtained therefor relating to:

Title of Invention for **POSTAL SERVICES METHOD AND SYSTEM**  
United States Patent

as set forth in United States Patent Application executed by each undersigned inventor on the date indicated by each inventor signature below, and further identified by **Attorney Docket Number GB920030044US1**;

(select one): ☒ executed concurrently herewith or ☐ Serial No. \_\_\_\_\_ Filed on \_\_\_\_\_

for and to said United States Patent Application including any and all divisions or continuations thereof and in and to any and all Letters Patent of the United States and foreign patents and all rights of priority to be obtained therefor which may issue on any such application or for said invention therein disclosed, including any and all reissues or extensions thereof, to be held and enjoyed by, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made;

Each undersigned inventor hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters Patent to IBM, its successors and assigns, in accordance herewith;

Each undersigned inventor warrants and covenants that he/she has the full and unencumbered right to sell and assign the interests hereby sold and assigned and that he/she has not executed and will not execute any document or instrument in conflict herewith;

Each undersigned inventor further covenants and agrees that he/she, upon the request and at the expense of the IBM, will execute and deliver any papers, make all rightful oaths, testify in any legal proceeding relating to said inventions and improvements, communicate to the IBM all facts known to the undersigned relating to such inventions and improvements and the history thereof; and perform all other lawful acts deemed necessary or desirable by IBM, and its legal representatives, to secure, maintain, and enforce patent protection for such inventions and improvements and for vesting title to such inventions and improvements in the IBM, and in particular to perfect title to said above-identified certain inventions, and applications including divisions and continuations thereof, and any and all Letters Patent which may be granted therefor or thereon, including reissues, extensions, or counterparts;

Each undersigned inventor hereby grants the IBM and the firm of the power to insert in this Assignment any further identification which may be necessary or desirable for recordation of this Assignment.

This assignment is governed by the substantive laws of the state of New York.

Executed by Inventor 1 of 1

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Signature: Barry P. Lubart Date: July 25, 2003  
Barry P. Lubart